

RuleXis: AI-Enabled Regulatory Intelligence

Defensible compliance infrastructure
for complex financial environments.

A division of Duforest Ltd

Guernsey-Incorporated

Armed Forces Covenant Bronze Employer

The fundamental tension in financial compliance



The Need for Speed

Financial services firms see the immediate productivity benefits of AI. They urgently want faster regulatory interpretation and policy generation.



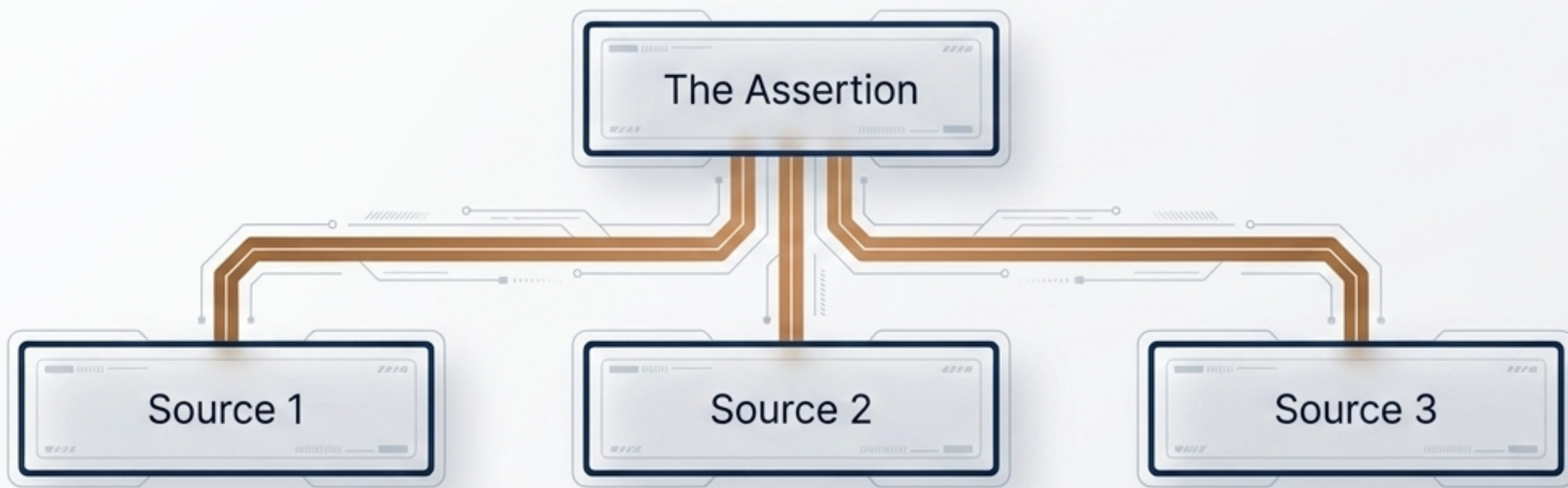
The Regulator's Red Line

Compliance leaders, boards, and regulators absolutely cannot accept unsupported conclusions, unverified sources, or opaque decision-making.

In highly regulated environments, unsupported AI output is simply unacceptable.

Our foundational engineering principle: “No source, no assertion.”

Every material output generated by RuleXis is explicitly anchored to its foundational truth.



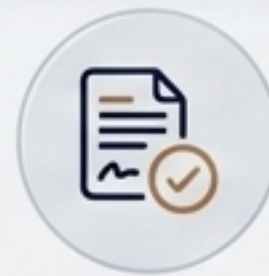
Source-grounded:
Rooted in firm-specific realities.



Traceable:
Unbroken link from query to source text.

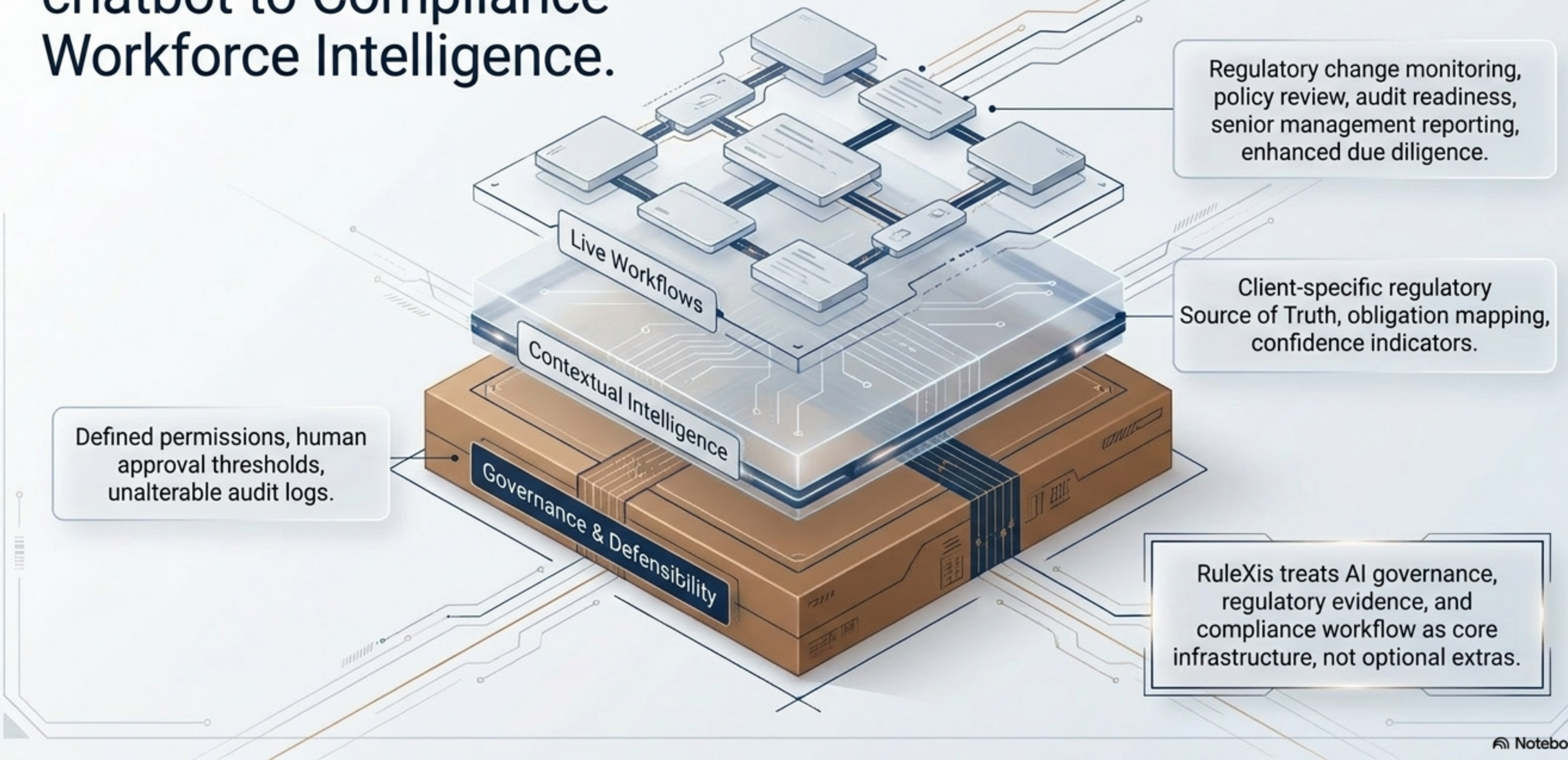


Reviewable:
Built for human oversight.



Auditable:
Preserving ultimate regulatory defensibility.

Moving beyond the generic chatbot to Compliance Workforce Intelligence.



The architectural difference between drafting tools and defensible intelligence.

	Generic AI	RuleXis Compliance Intelligence
Output Generation	Unsupported, probabilistic text generation.	✓ Source-linked , evidence-backed assertions.
Operational Focus	Isolated document drafting or Q&A.	✓ Continuous, integrated compliance workflows.
Decision Governance	Opaque, black-box decision-making.	✓ Human review chains, approval thresholds, and immutable audit logs.
Knowledge Environment	General internet-trained knowledge.	✓ Client-specific Regulatory Source of Truth.

TELEMETRY

84%

31-87

GUI%

The lifecycle of a defensible compliance action.

DATA FLOW: ACTIVE

1. The Foundation

Client-Specific
Source of Truth



Ingesting firm-specific
regulatory obligations
and policies.

2. The Engine

Governed AI
Workspaces



Generating insights,
interpreting regulatory
change, assessing
controls.

3. The Check

Work Orders &
Approvals



Routing outputs through
designated human
reviewers for verification.

4. The Proof

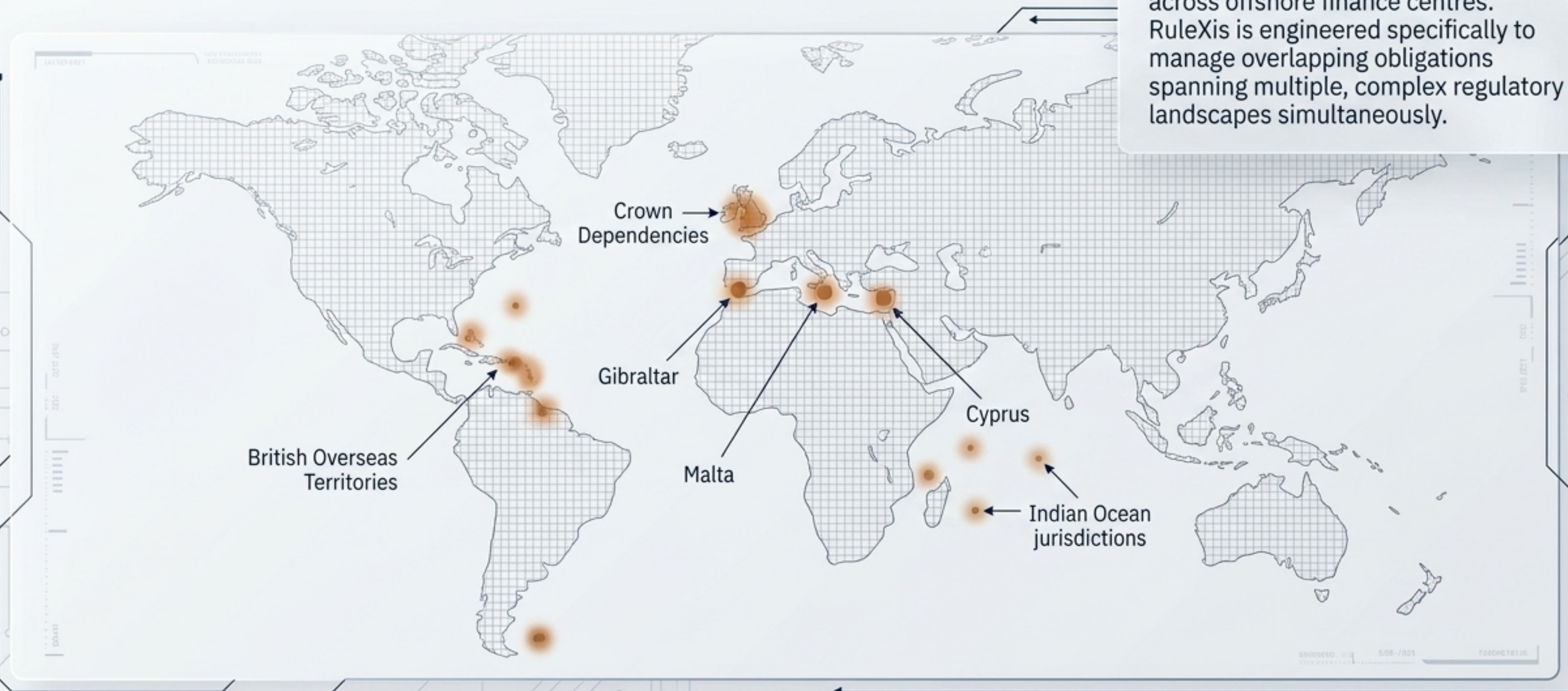
Evidence Trails
& Reporting



Generating comprehensive
evidence packs and
senior management
board reporting.

Built for the complexity of multi-jurisdictional financial environments.

The compliance burden is most acute for regulated firms operating across offshore finance centres. RuleXis is engineered specifically to manage overlapping obligations spanning multiple, complex regulatory landscapes simultaneously.



Engineered by Service: Military DNA translated into software architecture.

Royal Signals Principles



1. **Secure Communications**

2. **Operational Discipline**

3. **Command & Control**

4. **Accountability**

RuleXis Platform Reality



1. **Air-gapped** deployment options & proprietary LLMs

2. **Strict obligation mapping** and version histories

3. **Governed AI workspaces** with human approval thresholds

4. **Unalterable audit logs** and evidence packs

*In both military service and financial compliance, it is not enough to produce a useful answer.
There must be a **chain of responsibility** and a reliable audit trail.*

The workforce behind Duforest Ltd.

Team Structure

**1 Full-Time,
8 Part-Time**

Veteran/Spouse and
Veteran Contributors.

Commitment

**Bronze
Employer**

Armed Forces Covenant
Signatory. Active links with
Royal Signals Association.

Growth Pathway

**Founder is
TechVet**

Founder is a TechVet and
actively recruits other
TechVets.

As a young business, Duforest Ltd remains highly lean through disciplined prioritisation, leveraging specialist veteran contributors to focus RuleXis exclusively on the highest-value regulated use cases.

Proven track record and immediate global market validation.

The Pedigree

Co-founded RuleWise (Top 3 Tech Business of the Year, 2025 Heropreneurs Awards). Sold interest in February 2026 to independently pursue a stricter, governance-first AI vision.

The Launch

Development of RuleXis began in Sept 2025, taking it from concept to a live SaaS regulatory intelligence platform with a defensible market position.

The Validation

Selected for the **AI FinTech100 2026** (embargoed until 17 June 2026)—recognised globally among the 100 most influential AI FinTech businesses.



The 12-Month Tactical Horizon

Live Platform

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graph LR; LP((Live Platform)) <--> AFA[Advisory Firm Architecture]; LP <--> UDS[Ultimate Data Security]; LP <--> EAI[Expanded Agentic AI];
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Advisory Firm Architecture

Developing versions to enable advisory firms to support multiple regulated clients, each with distinct jurisdictions, permissions, and licence restrictions.

Ultimate Data Security

Delivering air-gapped deployment options utilising a proprietary LLM for firms with the highest, non-negotiable data security requirements.

Expanded Agentic AI

Increasing specialist agents and tools for financial crime interpretation, AML/CFT, enhanced due diligence, and automated policy review.

DATA FLOW: ACTIVE → ↺
AUDIT TRAIL: SECURE → 🔒
INTEGRITY CHECK: PASS → ✓

The 5-Year Strategic Vision: The trusted standard for offshore centres.

Core Ambition: To transition firms operating in complex multi-jurisdictional environments from fragmented, manual compliance towards source-grounded, AI-enabled operations.



Technology is not simply part of the the business; it is the business.

For **regulated firms**, AI adoption is inevitable. RuleXis ensures that adoption is **defensible, traceable, and secure**.

Web: rulexis.com

LinkedIn: linkedin.com/company/rulexishq/

Available for product demonstration, partnership alignment, and strategic investment discussions.